

THE CENTRALIZER OF C^r -GENERIC DIFFEOMORPHISMS AT HYPERBOLIC BASIC SETS IS TRIVIAL

JORGE ROCHA AND PAULO VARANDAS

ABSTRACT. In the late nineties, Smale proposed a list of problems for the next century and, among these, it was conjectured that for every $r \geq 1$ a C^r -generic diffeomorphism has trivial centralizer. Our contribution here is to prove the triviality of C^r -centralizers on hyperbolic basic sets. In particular, C^r -generic transitive Anosov diffeomorphisms have a trivial C^1 -centralizer. These results follow from a more general criterium for expansive homeomorphisms with the gluing orbit property. We also construct a linear Anosov diffeomorphism on \mathbb{T}^3 with discrete, non-trivial centralizer and with elements that are not roots. Finally, we prove that all elements in the centralizer of an Anosov diffeomorphism preserve some of its maximal entropy measures, and use this to characterize the centralizer of linear Anosov diffeomorphisms on tori.

1. INTRODUCTION AND STATEMENT OF THE MAIN RESULTS

Introduction. In the late nineties Smale proposed a list of problems for the 21st century and, among them, it is asked how typical are diffeomorphisms with trivial centralizer [28]. The centralizer $Z^r(f)$ of a C^r -diffeomorphism f , defined as the set of C^r -diffeomorphisms that commute with f , contains many information about possible symmetries of the dynamics. For instance, it may be used to determine when a diffeomorphism embeds as a time-1 map of a flow as done by Palis [19], or to study the existence of smooth conjugacies for topologically conjugate circle diffeomorphisms, a problem initiated by Herman in [13]. Thus, the problems and conjectures established by Smale have a strong motivation from the interplay between dynamical systems and the algebraic properties of the linear models for hyperbolic automorphisms. Important contributions to the conjecture have been given since the seventies. In [30], Walters proved that the C^0 -centralizer of expansive dynamics is discrete. Anderson [1] proved that for a C^∞ -open and dense set of Morse-Smale diffeomorphisms the centralizer is also discrete. Kopell [14] studied the triviality of the scentralizer of C^r circle diffeomorphisms ($r \geq 2$) and linear transformations. Palis and Yoccoz proved Smale's conjecture for Axiom A diffeomorphisms with the strong transversality condition in the C^∞ topology [20, 21]. In the real analytic setting, the first author proved that diffeomorphisms with trivial centralizer contain a residual subset of C^ω Axiom A diffeomorphisms with the strong transversality condition [22]. More recently, Bonatti, Crovisier and Wilkinson [8] proved that C^1 -generic diffeomorphisms have trivial centralizer, providing a solution of Smale's

⁰2000 *Mathematics Subject classification*: Primary 37D20, 37C20, 37C15, Secondary , 37F15, 37C05.

Date: November 27, 2016.

Key words and phrases. Centralizers, Anosov diffeomorphisms, uniform hyperbolicity, generic properties.

conjecture on the space of C^1 -diffeomorphisms. In that paper, the authors introduced a notion of unbounded distortion, and show it is C^1 -generic, and use it to prove that C^1 -generic diffeomorphisms have trivial centralizer. We also refer to [16, 9] and references therein for some partial answers to Smale's conjecture in the context of flows hyperbolic and singular-hyperbolic flows.

The problem of the triviality of the centralizer in more regular topologies is still much an open question. A classical strategy for obtaining discreteness for C^r -diffeomorphisms ($r > 1$) is to use linearization at some hyperbolic periodic orbit and to reduce the problem of the centralizer back to the analysis of algebraic ingredients. The drawback of this strategy is that C^r -linearization is only guaranteed once non-resonance conditions are satisfied by the eigenvalues of the derivative at that periodic point, and provided the diffeomorphism is sufficiently smooth (the required smoothness is given explicitly from the non-resonance conditions of the eigenvalues and, for that reason, C^∞ -smoothness is assumed). Indeed, there exists a C^∞ open and dense subset of Anosov diffeomorphisms on tori and a C^∞ open and dense subset of Axiom A diffeomorphisms with strong transversality which have trivial centralizer (see [21, 20] respectively). The previous results were extended by the first author in the case of surfaces and $2 \leq r \leq \infty$, there exists a C^r -open and dense subset of Axiom A diffeomorphisms with the no cycles condition whose elements have trivial centralizer [11]. Later, Fisher [12] proved that the elements of a C^r ($2 \leq r \leq \infty$) open and dense set of diffeomorphisms that exhibit a codimension-one hyperbolic and non-Anosov attractor have trivial centralizer on its basin of attraction. Hence, the triviality of the centralizer at hyperbolic basic pieces is well known both in the case of C^∞ diffeomorphisms and in the case of codimension one hyperbolic attractors.

Our main purpose in this paper is to contribute to description of the centralizer of C^r -diffeomorphisms at hyperbolic basic pieces ($r \geq 1$) removing both the C^∞ -smoothness and codimension one assumptions, establishing the triviality of the centralizer in the case of C^r -generic diffeomorphisms that exhibit non-trivial hyperbolic basic pieces ($2 \leq r < \infty$). First, we prove a criterium for an element in the C^0 -centralizer of expansive homeomorphisms with the periodic gluing orbit property to be a power of it (see Theorem 1 below). Then, we prove that the assumptions in the criterium are satisfied by C^1 diffeomorphisms in the centralizer of C^r -generic diffeomorphisms and, consequently, C^r -generic hyperbolic basic pieces (including transitive Anosov diffeomorphisms) have trivial centralizer (cf. Corollaries 1 and 2). As Anosov diffeomorphisms may have non-trivial centralizers and may have positive entropy (even Anosov) diffeomorphisms in their centralizer (see Example 1.1) then it is important to provide a general characterization for elements in the centralizer of Anosov diffeomorphisms. For that purpose, we prove in Theorem 2 that if the measure theoretical entropy function of a finite entropy homeomorphism is lower semi-continuous then all elements of the centralizer preserve some of its measures of maximal entropy. In consequence, the centralizer of Anosov automorphisms on tori is formed by volume preserving diffeomorphisms (Corollary 4) and any C^2 -partially hyperbolic diffeomorphism in its centralizer has positive entropy (cf. Corollary 6). Finally, we finish this article with some questions.

Preliminaries. Let $f \in \text{Diff}^r(M)$, $r \geq 1$. Let $\text{Per}(f)$ denote the set of periodic points for f and $\Omega(f) \subset M$ denote the non-wandering set of f . An f -invariant set Λ is *transitive* if it has a dense orbit, that is, there exists $x \in \Lambda$ so that

$\overline{\mathcal{O}_f(x)} := \overline{\{f^n(x) : n \in \mathbb{Z}\}} = \Lambda$. We say that a compact f -invariant set $\Lambda \subset M$ is a *hyperbolic basic set* for f if it is transitive and admits a hyperbolic splitting: there is a Df -invariant splitting $T_\Lambda M = E^s \oplus E^u$ and constants $C > 0$ and $\lambda \in (0, 1)$ so that $\|Df^n(x)|_{E_x^s}\| \leq C\lambda^n$ and $\|(Df^n(x)|_{E_x^u})^{-1}\| \leq C\lambda^n$ for every $x \in \Lambda$ and $n \geq 1$. A periodic point $p \in \text{Per}(f)$ is hyperbolic if its orbit is a hyperbolic set.

We say that $f \in \text{Diff}^r(M)$, $r \geq 1$, is *Axiom A* if (i) $\overline{\text{Per}(f)} = \Omega(f)$ and (ii) $\Omega(f)$ is a uniformly hyperbolic set. Clearly all periodic points of Axiom A diffeomorphisms are hyperbolic. We say that f is an *Anosov diffeomorphism* if the whole manifold M is a hyperbolic set for f . One should mention that not all manifolds admit Anosov diffeomorphisms. It follows from the spectral decomposition theorem that for any Axiom A diffeomorphism f there are finitely many pairwise disjoint hyperbolic basic sets $(\Lambda_i)_{i=1 \dots k}$ so that $\Omega(f) = \Lambda_1 \cup \Lambda_2 \cup \dots \cup \Lambda_k$. We refer the reader e.g. to [27] for more details.

Given $f \in \text{Diff}^r(M)$, $r \in \mathbb{N} \cup \{\infty\}$ and $0 \leq k \leq r$, the C^k -centralizer for f is the subgroup of $\text{Diff}^k(M)$ defined as

$$Z^k(f) = \{g \in \text{Diff}^k(M) : g \circ f = f \circ g\},$$

where $\text{Diff}^0(M)$ stands for the space $\text{Homeo}(M)$ of homeomorphisms on M . For every $1 \leq k \leq n$, it is clear that $Z^k(f)$ is a subgroup of $(\text{Diff}^k(M), \circ)$ which always contains the subgroup $\{f^n : n \in \mathbb{Z}\}$. Clearly, $Z^0(f) \supset Z^1(f) \supset Z^2(f) \supset \dots \supset Z^r(f) \supset \{f^n : n \in \mathbb{Z}\}$, and we say that $f \in \text{Diff}^r(M)$ has *trivial C^k -centralizer* if $Z^k(f) = \{f^n : n \in \mathbb{Z}\}$. For simplicity, will say that $f \in \text{Diff}^r(M)$ has *trivial centralizer* if its C^r -centralizer is trivial. Note the C^0 -triviality of the C^0 -centralizer of $f \in \text{Diff}^r(M)$, $r \geq 1$, implies on the triviality of $Z^k(f)$ for all $1 \leq k \leq r$.

We now recall some ingredients from the thermodynamic formalism. Let $\mathcal{M}(M)$ denote the space of Borelian probability measures on M , endowed with the weak* topology. The space of f -invariant probability measures $\mathcal{M}_f(M) \subset \mathcal{M}(M)$ is a compact subset, and for any $\nu \in \mathcal{M}_f(M)$ the *entropy of ν* is defined as $h_\nu(f) = \sup\{h_\nu(f, \mathcal{P}) : \mathcal{P} \text{ is a finite partition in } M\}$, where

$$h_\nu(f, \mathcal{P}) = \inf_{n \geq 1} \frac{1}{n} H\left(\bigvee_{j=0}^{n-1} f^{-j}(\mathcal{P})\right) \quad \text{and} \quad H(\mathcal{P}) = \sum_{P \in \mathcal{P}} -\mu(P) \log \mu(P).$$

An f -invariant probability measure μ is called an *equilibrium state* for f with respect to a continuous potential $\phi : M \rightarrow \mathbb{R}$ if it attains the supremum in the variational principle for the topological pressure

$$P_{\text{top}}(f, \phi) = \sup_{\nu \in \mathcal{M}_f(M)} \left\{ h_\nu(f) + \int \phi d\nu \right\} \quad (1.1)$$

In the case that $\phi \equiv 0$ the previous expression becomes the variational principle for the topological entropy and any measure that attains the supremum is called a *maximal entropy measure*. In the case that Λ is a hyperbolic basic set for f it is well known that for every Hölder continuous potential ϕ there exists a unique equilibrium state for $f|_\Lambda$ with respect to ϕ . We refer the reader to Bowen's monograph [6] for more details.

Statement of the main results. This section is devoted to the statement of our main results. Our first main result is inspired by [16] and provides a criterium for the triviality of the C^0 -centralizer for expansive homeomorphisms on compact metric spaces. We need two preliminary notions that we now recall. Recall that

an homeomorphism f is *expansive* if there exists $\varepsilon > 0$ so that for any $x, y \in M$ there exists $n \in \mathbb{Z}$ such that $d(f^n(x), f^n(y)) > \varepsilon$. Any such constant ε is called an expansivity constant for f .

Given a compact metric space Λ and an homeomorphism $f \in \text{Homeo}(\Lambda)$, we say that f has the *periodic gluing orbit property* if for any $\varepsilon > 0$ there exists $K = K(\varepsilon) > 0$ such that for any points $x_0, x_1, \dots, x_k \in \Lambda$ and positive integers $n_0, n_1, \dots, n_k \geq 1$ there are positive integers $p_0, p_1, \dots, p_{k-1}, p_k \leq K(\varepsilon)$ and $x \in \Lambda$ so that $d(f^k(x), f^k(x_0)) < \varepsilon$ for all $1 \leq k \leq n_0$, that $d(f^{k+\sum_{j=0}^{i-1}(p_j+n_j)}(x), f^k(x_i)) < \varepsilon$ for all $1 \leq k \leq n_i$ and $1 \leq i \leq k$, and $f^{\sum_{j=0}^k(p_j+n_j)}(x) = x$. This notion is weaker than specification and holds e.g. for minimal isometries on tori and uniformly hyperbolic dynamics. In fact, the periodic gluing orbit property implies on a strong transitivity and on the denseness of periodic orbits. Moreover, this property holds for homeomorphisms with the periodic shadowing property on each chain recurrence class of the non-wandering set. We refer the reader to [3, 4, 5, 29] for more details.

Finally, given homeomorphisms $f, h : M \rightarrow M$, we say that h *preserves the periodic orbits of f* if $h(\mathcal{O}_f(x)) = \mathcal{O}_f(x)$ for every $x \in \text{Per}(f)$. In other words, for each $x \in \text{Per}(f)$ there exists $n(x) \in \mathbb{Z}$ so that $h(x) = f^{n(x)}(x)$. We are now in a position to state our first main result.

Theorem 1. *Let Λ be a compact metric space and let $f : \Lambda \rightarrow \Lambda$ be an expansive homeomorphism. Assume that f satisfies the periodic gluing orbit property. If $h \in \mathcal{Z}^0(f)$ preserves periodic orbits of f then $h = f^k$ for some $k \in \mathbb{Z}$.*

In what follows we deduce some consequences. The first one, which is a consequence of Theorem 1 together with Lemma 3.1, shows that the centralizer at hyperbolic basic pieces is typically trivial.

Corollary 1. *Let $1 \leq r \leq \infty$, $f_0 \in \text{Diff}^r(M)$ and $\Lambda_{f_0} \subset M$ be a maximal invariant set for f_0 . Let $\mathcal{U} \subset \text{Diff}^r(M)$ be an open neighborhood of f_0 and let $U \subset M$ be open set such that the analytic continuation of the hyperbolic basic sets defined by*

$$\mathcal{U} \ni f \mapsto \Lambda_f := \bigcap_{n \in \mathbb{Z}} f^n(U) \quad (1.2)$$

is well defined. There exists a C^r -open neighborhood \mathcal{U} of f and a residual subset $\mathcal{R} \subset \mathcal{U}$ so that $\mathcal{Z}^1(g|_{\Lambda_g})$ is trivial for every $g \in \mathcal{R}$.

It follows from the previous corollary that C^r -generic Axiom A diffeomorphisms, restricted to their non-wandering set, have trivial centralizer. One should also refer that the first author constructed an open set of transitive Anosov diffeomorphisms on \mathbb{T}^2 with a non-trivial C^0 -centralizer [24], and consequently Corollary 1 is no longer true when considering the C^0 -centralizer. Thus, there exists an element in the centralizer that permutes periodic orbits (of the same period). In what follows we are also interested in the C^r -centralizer of Anosov diffeomorphisms, $r \geq 1$. By structural stability, the set $\mathcal{A}^r(M)$ of transitive Anosov diffeomorphisms is an open set in $\text{Diff}^r(M)$, $r \geq 1$. Among the classes of transitive diffeomorphisms we should refer the set $\mathcal{A}_m^r(M)$ of volume preserving Anosov diffeomorphisms.

Corollary 2. *Let M be a compact Riemannian manifold that supports Anosov diffeomorphisms and $1 \leq r \leq \infty$. There are C^r -residual subset $\mathcal{R}_1 \subset \mathcal{A}^r(M)$ and $\mathcal{R}_2 \subset \mathcal{A}_m^r(M)$ of Anosov diffeomorphisms so that the C^1 -centralizer of every $f \in \mathcal{R}_i$ is trivial, $i = 1, 2$.*

We observe that the previous result implies on explosion of differentiability. Indeed, given $1 \leq r \leq \infty$ any C^1 diffeomorphism that commutes with a C^r -generic Anosov diffeomorphism is itself C^r -smooth. As previously mentioned, whenever $r = 1$ and $r = \infty$ the previous result is a direct consequence of [8] and [20], respectively. For intermediate regularity $1 < r < \infty$, in order to use the criterium established by Theorem 1 we avoid a countable number of conditions (each of which determines a closed set with empty interior in $\text{Diff}^r(M)$). We now observe that the centralizer of a linear Anosov diffeomorphism may have rationally independent Anosov diffeomorphisms. More precisely:

Example 1.1. *There exists a linear Anosov automorphism f on \mathbb{T}^3 whose C^∞ -centralizer is discrete, is non-trivial and contains an Anosov diffeomorphism h that does not satisfy any of the equations $h^n = f^m$ for $m, n \in \mathbb{Z} \setminus \{0\}$. In particular the Anosov diffeomorphism, whose construction is described in Section 4, does not belong to the residual subsets described in Corollary 1.*

The main purpose now is to describe the elements in the centralizer of every Anosov diffeomorphism on tori. In what follows we discuss the entropy of common invariant measures.

Theorem 2. *Let M be a compact metric space and assume that $f \in \text{Homeo}(M)$ have finite topological entropy. If the entropy map $h : \mathcal{M}_f(M) \rightarrow \mathbb{R}_+$ given by $\mu \mapsto h_\mu(f)$ is upper semicontinuous then every $g \in \mathcal{Z}^0(f)$ preserves a maximal entropy measure of f . In addition, if $\mathcal{Z}^0(f)$ is finitely generated then there exists of a maximal entropy measure that is preserved by all elements in $\mathcal{Z}^0(f)$.*

It is well known that the measure theoretical entropy function of expansive homeomorphisms, including Anosov diffeomorphisms, is upper semicontinuous (see e.g. [31]). Thus the following is a direct consequence of Theorem 2:

Corollary 3. *Assume that $f \in \text{Diff}^1(M)$ is a transitive Anosov diffeomorphism. Then every $g \in \mathcal{Z}^0(f)$ preserves all the equilibrium states of f associated to Hölder continuous potentials. In particular, the unique maximal entropy measure for f is preserved by all $g \in \mathcal{Z}^0(f)$ and, consequently, $h_{\text{top}}(f) = \sup_{\mu \in \mathcal{M}_f(M) \cap \mathcal{M}_1(g)} h_\mu(f)$.*

The previous result should be compared with [26]: if $f, g \in \text{Homeo}(M)$ are commuting homeomorphisms, $h_{\text{top}}(f) > 0$ and g is expansive then $h_{\text{top}}(g) > 0$. In the case of linear Anosov automorphisms the maximal entropy measure coincides with the Lebesgue measure. Therefore, we deduce that all diffeomorphisms commuting with a volume preserving Anosov diffeomorphisms are themselves volume preserving. More precisely:

Corollary 4. *Assume that $f = f_A$ is a linear Anosov automorphism on \mathbb{T}^n . Then, for every $r \geq 1$,*

$$\mathcal{Z}_m^r(f) := \mathcal{Z}^r(f) \cap \text{Diff}_m^r(M) = \mathcal{Z}^r(f).$$

Every Anosov diffeomorphism f on \mathbb{T}^n is topologically conjugated to a linear Anosov automorphism f_A induced by a hyperbolic matrix $A \in GL(n, \mathbb{Z})$ with $|\det A| = 1$ (cf. [18]). Clearly f_A is volume preserving and the Lebesgue measure is the unique maximal entropy measure. Moreover, it is not hard to check that the C^0 -centralizer for f is homeomorphic to the centralizer of f_A (see e.g. [25, Theorem 2]). Now, we relate our results with the ones due to Katok [17] on the

centralizer of diffeomorphisms preserving an invariant measure. For every $n \geq 2$ there exists a unique full supported maximal entropy measure for an Anosov diffeomorphism f on \mathbb{T}^n . Hence, the following is a direct consequence of Corollary 3.1 and Theorem 4.1 in [17]:

Corollary 5. *Let $f : \mathbb{T}^n \rightarrow \mathbb{T}^n$ be a linear Anosov diffeomorphism, $n \geq 2$. The following hold:*

- (1) *if $n = 2$ and $g \in \mathcal{Z}^1(f)$ then there are $k, \ell \in \mathbb{Z}$ so that $f^k g^\ell = id$; and*
- (2) *if $n = 3$ and $g, h \in \mathcal{Z}^1(f)$ then there are $k, \ell, m \in \mathbb{Z}$ so that $f^k g^\ell h^m = id$.*

Relations of the form $f^k g^\ell h^m = id$ are often associated to the existence of roots on the centralizer (e.g. if $g^2 = f$ then g is a root of f , and if $h^5 = id$ then h is a root of the identity) and to remove them constitutes an important step for establishing trivial centralizer (see for instance [20]). Example 1.1 above implies that Corollary 5 (1) above is no longer true for Anosov diffeomorphisms on \mathbb{T}^3 . It also implies that considering two elements in the centralizer at Corollary 5 (2) is optimal: there exist commuting Anosov C^∞ diffeomorphisms f and g such that $f^k g^\ell \neq id$ for all $k, \ell \in \mathbb{Z}$.

Finally, we discuss some rigidity phenomenon relating elements in the centralizer with their topological entropy. First we observe the following:

Proposition 1. *If $f_A : \mathbb{T}^2 \rightarrow \mathbb{T}^2$ is a linear Anosov diffeomorphism and $g \in \mathcal{Z}^0(f_A)$ has positive entropy then g is a root of an Anosov diffeomorphism.*

Our next result concerns the entropy of partially hyperbolic diffeomorphisms in the centralizer of an Anosov diffeomorphism. We say that $g \in \text{Diff}^1(M)$ is *partially hyperbolic* if there exists a Dg -invariant splitting $TM = E^u \oplus E^c$ and constants $C > 0$ and $\lambda \in (0, 1)$ so that $\|(Df^n(x)|_{E_x^u})^{-1}\| \leq C\lambda^n$ and $\|(Df^n(x)|_{E_x^u})^{-1}\| \|(Df^n(x)|_{E_x^c})\| \leq C\lambda^n$ for every $x \in M$ and every $n \geq 1$. While Corollary 3 asserts that measures of maximal entropy are preserved by any element in the centralizer of a transitive Anosov diffeomorphism, it is not clear how to compute their entropy. The following result shows that all partial hyperbolic diffeomorphisms commuting with an Anosov diffeomorphism have positive topological entropy. More precisely:

Corollary 6. *If $1 \leq r \leq \infty$ and $f \in \text{Diff}^1(\mathbb{T}^n)$ is a linear Anosov diffeomorphism then every $g \in \mathcal{Z}^2(f)$ is volume preserving and $h_{\text{top}}(g) \geq \int \sum_i \lambda_i(g, x)^+ d\text{Leb}(x)$ where $\sum_i \lambda_i(g, \cdot)^+$ denotes the sum of positive Lyapunov exponents of g with respect to Lebesgue. In particular, if $g \in \mathcal{Z}^2(f)$ is partially hyperbolic then $h_{\text{top}}(g) > 0$.*

2. C^0 -TRIVIAL CENTRALIZERS

In what follows we will prove Theorem 1, whose proof is inspired by [16]. Let Λ be a compact metric space and let $f : \Lambda \rightarrow \Lambda$ be an expansive homeomorphism satisfying the periodic gluing orbit property and let $h \in \mathcal{Z}^0(f)$ be fixed. Assume throughout that $h \in \mathcal{Z}^0(f)$ preserves the periodic orbits of f .

Lemma 2.1. *For every $\varepsilon > 0$ there exists a periodic point $q \in \text{Per}(f)$ whose orbit is ε -dense in Λ . In particular $\text{Per}(f) = \Lambda$.*

Proof. The proof is a direct consequence of the periodic gluing orbit property. Indeed, by compactness of Λ , for every $\varepsilon > 0$ there exist a periodic point that

is ε -dense. Indeed, given $\varepsilon > 0$ pick any finite set $\{x_1, x_2, \dots, x_k\} \subset \Lambda$ that is $\varepsilon/2$ -dense in Λ and take $n_i = 1$ for every $1 \leq i \leq k$. The periodic gluing orbit property assures the existence of a positive integer $K = K(\frac{\varepsilon}{2}) \geq 1$ of positive integers $p_1, \dots, p_k \leq K$ and of a periodic point q , of period at most $(1+K)k$, such that so that $d(q, x_1) < \varepsilon$, that $d(f^{\sum_{j=0}^{i-1} (p_j+1)}(q), x_i) < \varepsilon$ for all $2 \leq i \leq k$, and $f^{\sum_{j=0}^k (p_j+1)}(q) = q$. In consequence $\mathcal{O}_f(q)$ is ε -dense in Λ . Since $\varepsilon > 0$ was chosen arbitrary this also proves the second claim in the lemma. \square

We proceed to prove that $h = f^k$ for some $k \in \mathbb{Z}$. As h preserves periodic orbits, given $p \in \text{Per}(f)$ there exists a unique $n(p) \in \mathbb{Z} \cap] -\frac{\pi(p)}{2}, \frac{\pi(p)}{2}]$ so that $h(p) = f^{n(p)}(p)$. Throughout, let $n : \text{Per}(f) \rightarrow \mathbb{Z}$ be as defined above and note that $n(q) = n(f^j(q))$ for every $q \in \text{Per}(f)$ and $0 \leq j \leq \pi(q)$. We first prove that if $n(\cdot)$ is bounded then the theorem follows.

Lemma 2.2. *If $n(\cdot) : \text{Per}(f) \rightarrow \mathbb{Z}$ is bounded then there exists $k \in \mathbb{Z}$ so that $h = f^k$.*

Proof. Assume there exists $N_0 \geq 1$ so that $|n(p)| \leq N_0$ for every $p \in \text{Per}(f)$. Then it makes sense to consider the decomposition $\text{Per}(f) = \bigsqcup_{|j| \leq N_0} \{p \in \text{Per}(f) \mid \Lambda : n(p) = j\}$. By Lemma 2.1, for every $\ell \geq 1$ there exists a periodic point $p_\ell \in \text{Per}(f)$ that is $\frac{1}{\ell}$ -dense in Λ . Using the previous decomposition on the space of periodic points and the pigeonhole principle, there exists $k \in \{-N_0, \dots, N_0\}$ so that the set $P_k := \{p \in \text{Per}(f) : n(p) = k\}$ contains infinitely many periodic points of the family $(p_\ell)_{\ell \geq 1}$. Hence P_k is dense in Λ and $h|_{P_k} = f^k$. This implies that $h = f^k$ and proves the lemma. \square

The remaining of the proof is to assure the hypothesis of the previous lemma is satisfied. We need the following estimate on $n(\cdot)$.

Lemma 2.3. *Given $p \in \text{Per}(f)$ of prime period $\pi(p) \geq 1$ there exists $\eta = \eta_p > 0$ (depending on p, f and h) so that for every $q \in \text{Per}(f) \cap B(p, \eta)$ either $n(q) = n(p)$ or $|n(q)| > \frac{\pi(p)}{2}$.*

Proof. Given $p \in \text{Per}(f)$ pick $\zeta > 0$ small enough such that the collection of balls $\{B(f^j(p), \zeta)\}_{j=0 \dots \pi(p)-1}$ is pairwise disjoint and

$$f^k(B(f^j(p), \zeta)) \cap \left(\bigcup_{s \neq j+k} B(f^s(p), \zeta) \right) = \emptyset \quad (2.1)$$

for every $j, k \in \{0 \dots \pi(p) - 1\}$. Clearly, the homeomorphism $\tilde{h} := h \circ f^{-n(p)}$ belongs to $\mathcal{Z}^0(f)$ and $\tilde{h}(p) = p$. Using that \tilde{h} is uniformly continuous, there exists $0 < \eta < \zeta/2$ so that $d(q, p) < \eta$ implies $d(\tilde{h}(q), \tilde{h}(p)) = d(f^{n(q)-n(p)}(q), p) < \frac{\zeta}{2}$. Since q and $\tilde{h}(q) = f^{n(q)-n(p)}(q)$ belong to $B(p, \eta)$ and h preserves the periodic orbits of f (hence the same holds for \tilde{h}) we conclude that either $\tilde{h}(q) = q$ or $\tilde{h}(q) \in \mathcal{O}_f(q) \setminus \{q\}$. These correspond to the cases that $n(q) = n(p)$ or, using (2.1), that $|n(q) - n(p)| \geq \pi(p)$, respectively. This proves the dichotomy that $n(q) = n(p)$ or $|n(q)| \geq \pi(p)/2$ as claimed in the lemma. \square

Theorem 1 will follow as a consequence of Lemma 2.2 together with the following proposition.

Proposition 2.1. *$n(\cdot) : \text{Per}(f) \rightarrow \mathbb{Z}$ is bounded.*

Proof. Assume by contradiction that $n(\cdot) : \text{Per}(f) \rightarrow \mathbb{Z}$ is unbounded. As f is expansive let $\varepsilon > 0$ be an expansivity constant for f . Given $p_1, p_2 \in \text{Per}(f)$ we have that $h(\mathcal{O}_f(p_i)) = \mathcal{O}_f(p_i)$ ($i = 1, 2$). Hence, diminishing $\varepsilon > 0$ if necessary, we can assume the ε -neighborhood V_i of the orbit $\mathcal{O}_f(p_i)$ ($i = 1, 2$) to satisfy

$$\text{dist}_H(V_1 \cup h(V_1) \cup h^{-1}(V_1), V_2 \cup h(V_2) \cup h^{-1}(V_2)) > 0, \quad (2.2)$$

where dist_H denotes the Hausdorff distance. Let $K(\varepsilon) > 0$ be given by the periodic gluing orbit property.

Let $p_3 \in \text{Per}(f)$ such that $|n(p_3)| > 2K(\varepsilon)$; such a point does exist because $n(\cdot)$ is unbounded. By definition of $n(\cdot)$ we get that $2K(\varepsilon) \leq |n(p_3)| \leq \frac{\pi(p_3)}{2}$.

Since ε is assumed to be an expansivity constant for f we conclude the diameter of the dynamic ball

$$B(p_3, k, \varepsilon) := \{x \in M : d(f^j(x), f^j(p_3)) < \varepsilon \text{ for every } -k \leq j \leq k\}$$

tends to zero as $k \rightarrow \infty$. Let $\eta = \eta_{p_3} > 0$ be given by Lemma 2.3 and $k_3 \geq 1$ be so that $\text{diam}(B(p_3, k_3, \varepsilon)) < \eta$. Given $k \geq 1$ arbitrary, the periodic gluing orbit property assures the existence of a periodic point $p \in \text{Per}(f)$ and times $0 \leq t_1, t_2, t_3 \leq K(\varepsilon)$ so that:

- (1) $d(f^j(p), f^j(p_1)) \leq \varepsilon$ for every $0 \leq j \leq k\pi(p_1)$
- (2) $d(f^{j+t_1+k\pi(p_1)}(p), f^j(p_2)) \leq \varepsilon$ for every $0 \leq j \leq \pi(p_2)$,
- (3) $d(f^{j+t_2+\pi(p_2)+t_1+k\pi(p_1)}(p), f^j(p_2)) \leq \varepsilon$ for every $0 \leq j \leq k_3\pi(p_3)$, and
- (4) $\pi(p) = t_3 + \pi(p_3) + t_2 + \pi(p_2) + t_1 + k\pi(p_1)$.

In particular, one can choose $k \gg 1$ so that $k\pi(p_1) \geq \max\{3K(\varepsilon) + \pi(p_2) + k_3\pi(p_3), |n(p_3)|\}$. Since the orbit of p intersects the η -neighborhood of the orbit of p_3 and $n(f^j(p_3)) = n(p_3)$ for every $0 \leq j \leq \pi(p_3) - 1$, Lemma 2.3 assures that $|n(p)| > K(\varepsilon)$. By items (1) and (2), the point $z = f^{t_1+k\pi(p_1)}(p)$ belongs to V_2 and $f^{-j}(z) \in V_1$ for every $t_1 \leq j \leq k\pi(p_1)$. Moreover, by the choice of $k \geq 1$, we have that $f^{-|n(z)|(z)} = f^{-|n(p)|(z)} \in V_1$. As either $h(p) = f^{-|n(z)|(z)}$ or $h^{-1}(p) = f^{-|n(z)|(z)}$ this leads to a contradiction with (2.2). Thus $n(\cdot) : \text{Per}(f) \rightarrow \mathbb{Z}$ is bounded, which proves the proposition. \square

3. TRIVIAL CENTRALIZERS ON HYPERBOLIC BASIC PIECES OF C^r -GENERIC DIFFEOMORPHISMS

Using that hyperbolic basic pieces admit analytic continuations $g \mapsto \Lambda_g$, Corollaries 1 and 2 on the triviality of the centralizer of C^r -generic diffeomorphisms on hyperbolic basic pieces are consequences of Lemma 3.1 below. First we establish some notation. Given a C^1 -diffeomorphism f on a compact Riemannian manifold M and $p \in \text{Per}(f)$, let $\sigma(Df^{\pi(p)}(p)) \subset \mathbb{C}$ denote the spectrum of the linear transformation $Df^{\pi(p)}(p) : T_p M \rightarrow T_p M$, where $\pi(p) \geq 1$ is the prime period of p .

Lemma 3.1. *Let $1 \leq r \leq \infty$, $f_0 \in \text{Diff}^r(M)$ and $\Lambda_{f_0} \subset M$ be a maximal invariant set for f_0 . Let $\mathcal{U} \subset \text{Diff}^r(M)$ be an open neighborhood of f_0 and let $U \subset M$ be open set such that the analytic continuation of the hyperbolic basic sets (1.2) is well defined. Then, there exists a C^r -residual subset $\mathcal{R} \subset \mathcal{U}$ such that for any $f \in \mathcal{R}$ the following holds: $Df^{\pi(p)}(p)$ is not linearly conjugated to $Df^{\pi(q)}(q)$ for any periodic points p, q so that $p \notin \mathcal{O}_f(q)$.*

Proof. Fix $r \geq 1$ arbitrary. For every $n \geq 1$ it is enough to prove that the set

$$\mathcal{R}_n := \{f \in \mathcal{U} : \sigma(Df^{\pi(p)}(p)) \cap \sigma(Df^{\pi(q)}(q)) = \emptyset \text{ for every } p, q \in \text{Per}_{\leq n}(f), p \notin \mathcal{O}_f(q)\}$$

forms a C^r -open and dense subset of \mathcal{U} (hence $\mathcal{R} = \bigcap_{n \geq 1} \mathcal{R}_n$ is the desired residual subset of \mathcal{U}).

Fix $n \geq 1$. By the analytic continuation of the hyperbolic basic sets, every periodic point $p \in \text{Per}(f_0)$ admits an analytic continuation $p_f \in \text{Per}(f)$ for every diffeomorphism $f \in \mathcal{U}$ and $\pi(p_f) = \pi(p)$. Moreover, since the spectrum $\sigma(Df^{\pi(p)}(p)) \subset \mathbb{C}$ is compact and the map $f \mapsto \sigma(Df^{\pi(p)}(p_f))$ is continuous (in the Hausdorff distance) then it is clear that \mathcal{R}_n is a C^r -open subset in \mathcal{U} .

We proceed to prove that $\mathcal{R}_n \subset \mathcal{U}$ is C^r -dense. The proof consists of showing that whenever the spectrum of the derivative at periodic points of period smaller or equal to n intersect, one can perform a finite number of arbitrarily small C^r -perturbations with disjoint supports (which resemble the ones considered by Franks [10, Lemma 1.1]) and to obtain an element of \mathcal{R}_n . Take $f \in \mathcal{U}$ and $\varepsilon > 0$ arbitrary. Since there are finitely many periodic orbits of period smaller or equal to n in Λ_f , choose periodic points $(p_i)_{i=1}^\ell$ in such a way that every periodic point in $\text{Per}_{\leq n}(f)$ belongs to the orbit of exactly one of the points p_i , for some $1 \leq i \leq \ell$. Pick $\delta > 0$ small so that the exponential map $\exp_x : B(0, \delta) \subset T_x M \rightarrow B(x, \delta)$ is a C^∞ diffeomorphism for every $x \in M$, and so that the family of open balls $\{B(p_i, \delta) : 1 \leq i \leq \ell\}$ on M is pairwise disjoint and every ball contains exactly one point of every periodic orbit of period smaller or equal to n . We proceed recursively on $2 \leq i \leq \ell$. Set $g_1 = f$. If $\sigma(Dg_1^{\pi(p_1)}(p_1)) \cap \sigma(Dg_1^{\pi(p_2)}(p_2)) = \emptyset$ then take $g_2 = g_1$, otherwise take

$$g_{2,A_2} := \begin{cases} g_1(x) & , \text{ if } x \notin B(p_2, \delta) \\ \exp_{f(p_2)} \circ [(1 - \beta)\hat{g}_1 + \beta(\hat{g}_1 \cdot A_2)] \circ \exp_{p_2}^{-1} & , \text{ if } x \in B(p_2, \delta) \end{cases} \quad (3.1)$$

where $\hat{g}_1 : B(0, \delta) \rightarrow B(0, \delta)$ is given by $\hat{g}_1 = \exp_{g_1(p_2)}^{-1} \circ g_1 \circ \exp_{p_2}$, the map $\beta : B(0, 2\delta) \rightarrow [0, 1]$ is a C^∞ bump function so that $\beta|_{B(0, 2\delta) \setminus B(0, \delta)} \equiv 0$ and $\beta|_{B(0, \delta/2)} \equiv 1$, and A_2 is C^∞ -small perturbation of the identity map. It is clear from the construction that g_{2,A_2} is a C^r -diffeomorphism, coincides with g_1 outside of the ball $B(p_2, \delta)$ and $\text{Per}_{\leq n}(g_{2,A_2}) = \text{Per}_{\leq n}(g_1)$. Moreover, by Faà di Bruno's formula, given $q \in B(p, \delta)$, we have

$$\frac{\partial^n \beta(\|q\|^2)}{\partial q_k} = \sum \frac{n!}{m_1!1!^{m_1} m_2!2!^{m_2} \dots m_n!n!^{m_n}} \beta^{(m_1 + \dots + m_n)}(\|q\|^2) \prod_{j=1}^n \left(\frac{\partial^j \|q\|^2}{\partial q_k} \right)^{m_j}, \quad (3.2)$$

where the sum is over all vectors with nonnegative integers entries (m_1, \dots, m_n) such that we have $\sum_{j=1}^n j \cdot m_j = n$. These derivatives are bounded above by a constant C (depending on δ). Hence g_{2,A_2} can be taken ε - C^r arbitrarily close to f provided the map A_2 is sufficiently C^∞ close to the identity map. More precisely,

$$\text{there exists } \zeta > 0 \text{ so that: } \|A_2 - Id\|_{C^\infty} < \zeta \Rightarrow \|g_{2,A_2} - g_1\|_{C^r} < \varepsilon. \quad (3.3)$$

Furthermore, by construction

$$Dg_{2,A_2}(p_2) = D(\hat{g}_1 \cdot A_2)(p_2). \quad (3.4)$$

Taking $A_2 = \lambda_2 Id$ we deduce that $\sigma(Dg_{2,A_2}(p_2)) = \{\lambda_2 \lambda \in \mathbb{C} : \lambda \in \sigma(Dg_1^{\pi(p_2)}(p_2))\}$. Thus, there exists λ_2 arbitrarily close to one so that g_{2,A_2} is ε - C^r -close to g_1 and the spectrum of $Dg_1^{\pi(p_2)}(p_2)$ and $Dg_2^{\pi(p_2)}(p_2)$ lie in circles of different radius in \mathbb{C} and, hence, cannot intersect. Proceeding recursively for every $2 < i < \ell$, we construct a ε - C^r -perturbation $g_{i+1} := g_{i+1, A_{i+1}}$ of the diffeomorphism g_i

(supported on $B(p_{i+1}, \delta)$) in such a way that $\text{Per}_{\leq n}(g_{i+1, A_{i+1}}) = \text{Per}_{\leq n}(g_1)$ and $\sigma(Dg_i^{\pi(p_s)}(p_s)) \cap \sigma(Dg_{i+1}^{\pi(p_{i+1})}(p_{i+1})) = \emptyset$ for every $1 \leq s \leq i$. Then $g = g_\ell$ is a C^r -diffeomorphism that is ε C^r -close to f and satisfies the requirements of the lemma. Since ε was chosen arbitrary this proves that \mathcal{R}_n is C^r -dense and finishes the proof of the lemma. \square

Remark 3.1. *Given $1 \leq r \leq \infty$, the previous arguments also yield a similar result among the classes of C^r volume preserving diffeomorphisms using perturbations A_2 C^∞ close to the identity map obtained as a finite composition of small rotations instead of homothetic perturbations in (3.3) and (3.4) above (see e.g. [7, Proposition 7.4] and [15] for the harder problem of C^1 -realization of derivatives on the conservative Franks lemma).*

3.1. Proof of Corollary 2. Fix $1 \leq r \leq \infty$. We will prove the corollary in the case of Anosov diffeomorphisms in $\mathcal{A}^r(M)$ (the proof for volume preserving diffeomorphisms $\mathcal{A}_m^r(M)$ is completely analogous). Note that M is a hyperbolic basic piece for any Anosov diffeomorphism in $\mathcal{A}^r(M)$ (due to transitivity).

Let \mathcal{D} be a countable C^r -dense set of Anosov diffeomorphisms in $\mathcal{A}^r(M)$. By structural stability, given $f \in \mathcal{D}$ the topological class of f contains a C^r open neighborhood $\mathcal{U}_f \subset \text{Diff}^r(M)$ of f . By Lemma 3.1, there exists a C^r -residual subset $\mathcal{R}_f \subset \mathcal{U}_f$ of Anosov diffeomorphisms so that $Dg^{\pi(p)}(p)$ is not linearly conjugated to $Dg^{\pi(q)}(q)$ for any $g \in \mathcal{R}_f$ and any periodic points $p, q \in \text{Per}(g)$ so that $p \notin \mathcal{O}_g(q)$.

We claim that every $g \in \mathcal{R}_f$ has trivial C^1 -centralizer. Indeed, given $g \in \mathcal{R}_f$ and $h \in \mathcal{Z}^1(g)$ it is enough to show that h preserves periodic orbits (cf. Theorem 1). But, using $h \circ g^n = g^n \circ h$ for every $n \in \mathbb{Z}$, if p is any periodic point of prime period $n = \pi(p)$ then $Dg^{\pi(p)}(h(p)) = Dh(p) \cdot Dg^{\pi(p)}(p) \cdot [Dh(p)]^{-1}$. This shows that $Dg^{\pi(p)}(h(p))$ and $Dg^{\pi(p)}(p)$ are linearly conjugated. By the construction of the residual subset \mathcal{R}_f we conclude that h preserves the periodic orbits of g and, consequently, the C^1 -centralizer of every $g \in \mathcal{R}_f$ is trivial. Then, $\mathcal{R} := \bigcup_{f \in \mathcal{D}} \mathcal{R}_f$ is a C^r -residual subset of $\mathcal{A}^r(M)$ that satisfies the requirements of the corollary. This proves Corollary 2.

4. ANOSOV DIFFEOMORPHISMS WITH NON-TRIVIAL C^1 -CENTRALIZER

Here we make the construction of a linear hyperbolic automorphism satisfying the requirements of Example 1.1. Consider the three-dimensional torus $\mathbb{T}^3 = \mathbb{R}^3/\mathbb{Z}^3$ and the matrix $A \in \text{SL}(3, \mathbb{Z})$ given by

$$A = \begin{pmatrix} 0 & 1 & 1 \\ 2 & 1 & 0 \\ 1 & 0 & -1 \end{pmatrix}$$

The characteristic polynomial is $p(\lambda) = -\lambda^3 + 4\lambda + 1$, which has three real zeros $\lambda_1 < \lambda_2 < 0 < \lambda_3$ with $|\lambda_1|, |\lambda_3| > 1$ and $|\lambda_2| < 1$. Indeed, the eigenvalues of A are $\lambda_1 \in]-1.87, -1.86[$, $\lambda_2 \in]-0.26, -0.25[$ and $\lambda_3 \in]2.11, 2.12[$. Thus A induces a linear Anosov diffeomorphism f_A on \mathbb{T}^3 with a Df_A -invariant splitting $T\mathbb{T}^3 = E_A^s \oplus E_A^u$ with $\dim E_A^s = 1$ and $\dim E_A^u = 2$. Since f_A is Anosov, hence expansive, it follows that the centralizer $Z^0(f_A)$ is discrete [30] (hence $Z^1(f_A)$ is discrete). We proceed to analyze the triviality of the C^1 -centralizer.

It is not hard to check from algebraic manipulations, that we omit, that $B \in \text{SL}(3, \mathbb{Z})$ is so that $AB = BA$ if and only if B is of the form

$$B = \begin{pmatrix} c_1 + 2c_2 + c_3 & c_1 + 2c_2 & c_1 \\ 2c_1 + 4c_2 & 2c_1 + 3c_2 + c_3 & 2c_2 \\ c_1 & c_2 & c_3 \end{pmatrix}$$

for $c_1, c_2, c_3 \in \mathbb{Z}$ under the restriction that $|\det B| = 1$. In particular, taking $c_1 = c_3 = 1$ and $c_2 = 0$ we obtain the matrix

$$B = \begin{pmatrix} 2 & 1 & 1 \\ 2 & 3 & 0 \\ 1 & 0 & 1 \end{pmatrix} \in \text{SL}(3, \mathbb{Z})$$

which is hyperbolic and commutes with A . The matrix B has eigenvalues $0 < \mu_1 < 1 < \mu_2 < \mu_3$, thus there exists a Df_B -invariant splitting $T\mathbb{T}^3 = E_B^s \oplus E_B^u$ with $\dim E_B^s = 1$ and $\dim E_B^u = 2$. By direct computations we get that the following three quotients (relative to eigenvalues along the same one-dimensional eigenspaces)

$$\frac{\log |\mu_1|}{\log |\lambda_1|} \approx -3.26 \quad \frac{\log |\mu_2|}{\log |\lambda_2|} \approx -0.41 \quad \text{and} \quad \frac{\log |\mu_3|}{\log |\lambda_3|} \approx 1.89$$

are pairwise distinct. Since A and B have the same one-dimensional eigenspaces, there are no non-zero integers m, n such that $A^n = B^m$.

5. CENTRALIZERS OF ANOSOV DIFFEOMORPHISMS AND POSITIVE ENTROPY ELEMENTS

5.1. Proof of Theorem 2. Assume that the homeomorphism $f \in \text{Homeo}(M)$ has finite topological entropy and that the entropy map $\mu \mapsto h_\mu(f)$ is upper semicontinuous: given invariant measures so that $\lim_{n \rightarrow \infty} \mu_n = \mu$ (in the weak* topology) it holds that $\limsup_{n \rightarrow \infty} h_{\mu_n}(f) \leq h_\mu(f)$. Under these assumptions f has at least one equilibrium state for every continuous potential ϕ (see e.g. [31]).

Fix an arbitrary $g \in \mathcal{Z}^0(f)$. As $g \circ f = f \circ g$ then $g_* \circ f_* = f_* \circ g_*$ where the push-forward dynamics $f_* : \mathcal{M}(M) \rightarrow \mathcal{M}(M)$ is given by $(f_*\eta)(A) = \eta(f^{-1}A)$ for every Borelian A in M (and g_* is defined similarly). Let μ be a maximal entropy measure for f . It is not hard to check from the commutativity of f and g that $g_*\mu$ is an f -invariant probability measure and that $h_{g_*\mu}(f) = h_\mu(f)$. The first is a direct consequence of the fact that $g_* \circ f_* = f_* \circ g_*$. The second follows as, for every finite partition \mathcal{P} on M ,

$$\begin{aligned} h_{g_*\mu}(f, \mathcal{P}) &= \inf_{n \geq 1} \frac{1}{n} H_{g_*\mu} \left(\bigvee_{\ell=0}^{n-1} f^{-\ell}(\mathcal{P}) \right) = \inf_{n \geq 1} \frac{1}{n} H_\mu \left(g^{-1} \left(\bigvee_{\ell=0}^{n-1} f^{-\ell}(\mathcal{P}) \right) \right) \\ &= \inf_{n \geq 1} \frac{1}{n} H_\mu \left(\bigvee_{\ell=0}^{n-1} f^{-\ell}(g^{-1}(\mathcal{P})) \right) = h_\mu(f, g^{-j}(\mathcal{P})). \end{aligned}$$

Since the partitions \mathcal{P} (resp. $g^{-j}(\mathcal{P})$) can be taken with arbitrarily small diameter then we conclude that $h_{g_*\mu}(f) = h_\mu(f)$, as claimed. Recursively, $h_{g_*^j \mu}(f) = h_\mu(f)$ for every $j \geq 0$. As the measure theoretic entropy function is affine we get that the f -invariant probability measures

$$\mu_n := \frac{1}{n} \sum_{j=0}^{n-1} g_*^j \mu \tag{5.1}$$

lie in the closed simplex $\mathcal{S} := \{\eta \in \mathcal{M}_f(M) : h_\eta(f) = h_\mu(f)\}$ for every $n \geq 1$. Since both f_* and g_* are continuous, if μ_∞ is an accumulation point of the sequence $(\mu_n)_n$ then $\mu_\infty \in \mathcal{M}_f(M) \cap \mathcal{M}_1(g)$. Moreover, $h_{\mu_\infty}(f) \geq \limsup_{n \rightarrow \infty} h_{\mu_n}(f) = h_\mu(f)$ by the upper semicontinuity of the entropy map $\mathcal{M}_f(M) \ni \eta \mapsto h_\eta(f)$. In consequence μ_∞ is a maximal entropy measure for f that is preserved by both f and g . In consequence $h_{\text{top}}(f) = \sup_{\mu \in \mathcal{M}_f(M) \cap \mathcal{M}_1(g)} h_\mu(f) = h_{\mu_\infty}(f)$. This proves the first statement in the theorem.

Finally, if $\mathcal{Z}^0(f)$ is finitely generated and $G = \{g_1, \dots, g_k\}$ is a set of generators then the previous argument shows that every push-forward $(g_{i+1})_*$ preserves the simplex $\mathcal{S}_+ = \{\eta \in \mathcal{M}_f(M) : h_\eta(f) = h_{\text{top}}(f)\}$ for every $1 \leq i \leq k$. Then, if μ is a maximal entropy measure for f then any accumulation point of the probability measures

$$\frac{1}{n^k} \sum_{j_1=0}^{n-1} \cdots \sum_{j_k=0}^{n-1} (g_1)_*^{j_1} \cdots (g_k)_*^{j_k} \mu$$

is a maximal entropy measure for f that is preserved by all elements in $\mathcal{Z}^0(f)$. This finishes the proof of the theorem.

Remark 5.1. If $g \in \mathcal{Z}^0(f)$ and $\mathcal{E}_\phi \subset \mathcal{M}_f(M)$ denotes the space of equilibrium states for f with respect to a continuous potential ϕ , similar computations as above yield that any accumulation point μ_∞ of the sequence of invariant probability measures defined by the Cesaro averages (5.1) (taking $\mu \in \mathcal{E}_\phi$ instead of a maximal entropy measure) satisfies $h_{\mu_\infty}(f) + \int \phi d\mu_\infty \geq h_\mu(f) + \int \phi d\mu$, where $\int \phi d\mu_\infty = \lim_{k \rightarrow \infty} \frac{1}{n_k} \sum_{j=0}^{n_k-1} \int \phi \circ g^j d\mu$ for some subsequence $(n_k)_k$. Therefore, one can only expect $g_*(\mathcal{E}_\phi) \subset \mathcal{E}_\phi$ in the very special case that g_* preserves the level set $\{\eta \in \mathcal{M}_f(M) : \int \phi d\eta = \int \phi d\mu\}$. This is true in the case that ϕ is constant, when the equilibrium states are maximal entropy measures.

5.2. Proof of Proposition 1. Assume that $f_A : \mathbb{T}^2 \rightarrow \mathbb{T}^2$ is a linear Anosov diffeomorphism and $g \in \mathcal{Z}^0(f_A)$ has positive entropy. We proof makes use of the crucial fact: given the hyperbolic automorphism f_A on \mathbb{T}^2 every element in the C^0 -centralizer of f_A that fixes the origin is linear [21, page 100]. Therefore, for any $g \in \mathcal{Z}^0(f_A)$ there exists $k \in \mathbb{N}$ and $B \in GL(2, \mathbb{Z})$ with $|\det B| = 1$ so that $g^k = f_B$. As f_B is C^∞ then Pesin formula implies $h_{\text{top}}(f_B) = \sum_i \lambda_i^+(f_B)$ where $\lambda_i^+(f_B)$ denote the positive Lyapunov exponents of f_B with respect to Lebesgue. Thus $h_{\text{top}}(f_B) = k h_{\text{top}}(g) > 0$ if and only if there exists at least one eigenvalue of B with absolute value larger than one. Since f_B is volume preserving the later implies that $B \in SL(2, \mathbb{Z})$ is a hyperbolic matrix and, equivalently, f_B is an Anosov automorphism. This proves the proposition.

Remark 5.2. The centralizer of linear Anosov diffeomorphisms on \mathbb{T}^2 can indeed contain roots (e.g. $f_B \in Z(f_A)$ where $A, B \in SL(2, \mathbb{Z})$ are given by

$$A = \begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix} \quad \text{and} \quad B = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$$

and verify $B^2 = A$). Nevertheless, the existence of roots in the centralizer is rare for more regular diffeomorphisms. Indeed, for every $k \in \mathbb{N} \cup \{\infty\} \cup \{\omega\}$ there exists an C^k -open and dense set of positive entropy real analytic diffeomorphisms on surfaces that have trivial centralizer [23].

6. FURTHER QUESTIONS:

Given a C^r -diffeomorphism $f \in \text{Diff}^r(M)$ and $0 \leq k \leq r$, the centralizer $Z^k(f)$ is a subgroup of $\text{Diff}^k(M)$. In the case there exists an open subset $\mathcal{U} \subset \text{Diff}^r(M)$ so that $Z^k(f)$ is finitely generated for every $f \in \mathcal{U}$ it makes sense to study the continuity points of the map $\mathcal{U} \ni f \mapsto N^k(f)$ where $N^k(f) \in \mathbb{N} \cup \{\infty\}$ denotes the minimum number of generators for $Z_0^k(f)$. Some results can be deduced in the case $r = k = 1$. Since C^1 -generically in $\text{Diff}^1(M)$ the centralizer is trivial (cf. [8]) there exists a residual subset $\mathcal{R} \subset \text{Diff}^1(M)$ so that $\mathcal{R} \ni f \mapsto N^1(f)$ is constant and equal to one. By [2], there exists an open subset of C^1 -Anosov diffeomorphisms with trivial centralizer, hence formed by continuity points for the function $f \mapsto N^1(f)$. The following questions remain open:

1. *Given $r \geq 1$, the set of C^r -Anosov diffeomorphisms (resp. C^r Axiom A diffeomorphisms with the no cycles condition) that have trivial centralizer forms a C^r open and dense set on the space of the space of C^r -Anosov diffeomorphisms (resp. C^r Axiom A diffeomorphisms with the no cycles condition)?*
2. *If $1 \leq k \leq r$, what are the continuity points of the functions N^k ? Are all Anosov diffeomorphisms points of (semi)continuity? Are there Anosov diffeomorphisms whose centralizers are not finitely generated?*

Actually not all Anosov diffeomorphisms are continuity points of this function, even if we restrict to linear hyperbolic diffeomorphisms. It is definitely interesting to discuss the triviality of the centralizer with the set of entropies associated to each element of the centralizer. Indeed, observe that the triviality of the centralizer $Z^0(f)$ seldom implies that

$$H_f := \{h_{\text{top}}(g) : g \in Z^0(f)\} \tag{6.1}$$

coincides with the arithmetic progression $\{nh_{\text{top}}(f) : n \in \mathbb{N}_0\}$. However, it is not true that this condition implies on the triviality of the centralizer, as it can be easily observed in the examples constructed by the first author in [24]. The following question is suggested by this class of examples:

3. *Assume that $f : \mathbb{T}^n \rightarrow \mathbb{T}^n$ is a C^1 Anosov diffeomorphism and that $H_f = \{nh_{\text{top}}(f) : n \in \mathbb{N}_0\}$. Is it true that all elements in the centralizer are either of the form f^k , $k \in \mathbb{Z}$, or roots of the identity?*

Finally, we believe that positive entropy elements in the centralizer of an Anosov diffeomorphism should have some rigidity condition. So, we ask:

4. *Let f be an Anosov diffeomorphism on \mathbb{T}^n ($n \geq 2$). Are all positive entropy elements in the C^1 -centralizer of f partially hyperbolic?*

Acknowledgements. The first author was partially supported by by CMUP - (UID/MAT/00144/2013), which is funded by FCT (Portugal) with national (MEC) and European structural funds through the programs FEDER, under the partnership agreement PT2020 and by PTDC/MAT-CAL/3884/2014. The second author was partially supported by BREUDS and CNPq-Brazil. This work was developed during the visit of the second author to University of Porto, whose hospitality and research conditions are greatly acknowledged. The authors are grateful to W. Bonomo and P. Teixeira for useful comments.

REFERENCES

- [1] B. Anderson. *Diffeomorphisms with discrete centralizer*. Topology, 15, (1976),143–147.
- [2] L. Bakker and T. Fisher. *Open sets of diffeomorphisms with trivial centralizer in the C^1 -topology* Nonlinearity, 27, (2014), 2859–2885.
- [3] M. Bessa, M. J. Torres and P. Varandas, *On the periodic orbits, shadowing and strong transitivity of continuous flows*, Preprint 2016.
- [4] T. Bomfim and P. Varandas, *The orbit gluing property and large deviation principles for semiflows*, Preprint ArXiv:1507.03905
- [5] T. Bomfim, M. J. Torres and P. Varandas, *Topological features of flows with the reparametrized gluing orbit property*, Preprint Researchgate 2016.
- [6] R. Bowen. *Equilibrium states and the ergodic theory of Anosov diffeomorphisms*, volume 470 of *Lect. Notes in Math*. Springer Verlag, 1975.
- [7] C. Bonatti, L. Dáz and E. Pujals C^1 -generic dichotomy for diffeomorphisms: Weak forms of hyperbolicity or infinitely many sinks or sources. Annals of Math. 158 (2003), 355–418.
- [8] C. Bonatti, S. Crovisier and A. Wilkinson. *The C^1 generic diffeomorphism has trivial centralizer*, Publ Math. IHES, 109:1 (2009) 185–244.
- [9] W. Bonomo, J. Rocha and P. Varandas. *The centralizer of expansive flows and \mathbb{R}^d -actions* Preprint ArXiv:1604.06516
- [10] J. Franks, *Necessary conditions for stability of diffeomorphisms*, Trans. Amer. Math. Soc., 158:2 (1971) 301–308.
- [11] T. Fisher, *Trivial centralizers for Axiom A diffeomorphisms*, Nonlinearity 21 (2008) 2505–2517.
- [12] T. Fisher, *Trivial centralizers for codimension-one attractors*, Bull. Lond. Math. Soc., 41:1 (2009) 51–56.
- [13] M. Herman, *Sur la conjugaison différentiable des difféomorphismes du cercle à des rotations* Publ. Math. IHES, 49, (1979), 5–233.
- [14] N. Kopell. *Commuting diffeomorphisms*, Global analysis, Proceedings of Symposia in Pure Mathematics XIV, Berkeley, CA, 1968 (Providence, RI, 1970) 165–184.
- [15] C. Liang, G. Liu, and W. Sun Equivalent Conditions of Dominated Splittings for Volume-Preserving Diffeomorphism. Acta Math. Sinica 23 (2007), 1563–1576.
- [16] D. Jungreis, M. Hirsch. *Rigidity of centralizers of Anosov flows*. Int. J. Math. 2:1, (1991) 37–40.
- [17] A. Katok. *Hyperbolic measures and commuting maps in low dimension*. Discrete Contin. Dynam. Systems 2 (1996), no. 3, 397–411.
- [18] A. Manning, *There are no new Anosov diffeomorphisms on tori*, Amer. J. Math. 96 (1974), 422–429.
- [19] J. Palis, *Vector fields generate few diffeomorphisms*, Bull. Amer. Math. Soc. 80:3 (1974), 503–505.
- [20] J. Palis and J.-C. Yoccoz. *Rigidity of centralizers of diffeomorphisms*. Ann. Sci. École Norm. Sup. (4) 22 (1989) 81–98.
- [21] J. Palis and J.-C. Yoccoz. *Centralizers of Anosov diffeomorphisms on tori*. Ann. Sci. École Norm. Sup. (4) 22 (1989) no. 1, 99–108.
- [22] J. Rocha. *Rigidity of centralizers of real analytic diffeomorphisms*. Ergodic Theory Dynam. Systems 13 (1993), no. 1, 175–197.
- [23] J. Rocha, *Centralizers and Entropy*. Boletim da Sociedade Brasileira de Matemática, 25:2 (1994) 213–222.
- [24] J. Rocha, *A note on the C^0 -centralizer of an open class of bidimensional Anosov diffeomorphisms*. Aequationes Math. 76 (2008) 105–111.
- [25] J. Rocha and P. Varandas, *On sensitivity to initial conditions and uniqueness of conjugacies for structurally stable diffeomorphisms* Preprint 2016.
- [26] M. Shereshevsky. On continuous actions commuting with actions of positive entropy. *Colloquium Mathematicum*, Vol. LXX (1996), Fasc. 2.
- [27] M. Shub, *Global stability of dynamical systems*, Springer Verlag, (1987).
- [28] S. Smale. *Mathematical problems for the next century*. Math. Intelligencer 20 (1998), 7–15.
- [29] W. Sun and X. Tian *Diffeomorphisms with various C^1 -stable properties*. Acta Mathematica Scientia 32B(2) (2012) 552–558.

- [30] P. Walters. *Homeomorphisms with discrete centralizers and ergodic properties*, Math. System Theory, Vol. 4, No. 4, p. 322-326, 1970.
- [31] P. Walters. *An introduction to ergodic theory*. Springer-Verlag, 1975.

JORGE ROCHA, DEPARTAMENTO DE MATEMÁTICA, UNIVERSIDADE DO PORTO, RUA DO CAMPO ALEGRE, 687, 4169-007 PORTO, PORTUGAL
E-mail address: `jrocha@fc.up.pt`

PAULO VARANDAS, DEPARTAMENTO DE MATEMÁTICA, UNIVERSIDADE FEDERAL DA BAHIA, AV. ADEMAR DE BARROS S/N, 40170-110 SALVADOR, BRAZIL
E-mail address: `paulo.varandas@ufba.br`